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Direct imaging of pulmonary gas exchange with hyperpolarized xenon MRI

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### Journal Pre-proof

### **Dear Editor,**

Chronic respiratory diseases, including chronic obstructive pulmonary disease (COPD), interstitial lung disease (ILD), and asthma, are among the leading causes of morbidity and mortality worldwide. Recent estimates indicate that chronic respiratory diseases affect over 540 million people in the world in 2017 and account for an estimated 3.9 million deaths.<sup>1</sup> Medical imaging plays a pivotal role in the diagnosis, progression monitoring, treatment planning, and outcome evaluation of respiratory diseases. Despite the tremendous developments in medical imaging technology, currently no tools are available that could directly depict, quantify, and localize the gas exchange of oxygen from the alveoli to the lung parenchyma and blood.

Gas exchange is a critical function of the lung, yet it is not possible to non-invasively visualize this process with current clinical methods. Magnetic resonance imaging (MRI) using hyperpolarized noble gases <sup>3</sup>He and  $129$ Xe has facilitated the development of unique strategies for evaluating lung structure and gas exchange function.<sup>2</sup> In particular, the solubility of  $129Xe$  in biological tissues, combined with its sensitivity to the surrounding environment, makes hyperpolarized  $^{129}Xe$  MRI uniquely capable of characterizing regional gas exchange,<sup>3</sup> which is not accessible with the use of hyperpolarized <sup>3</sup>He. Hyperpolarized <sup>129</sup>Xe gas MRI has shown significant promise in detecting and evaluating abnormalities in pulmonary small airways, parenchyma, and vasculature by quantifying ventilation, gas diffusion, and regional gas exchange. This technique has been widely used to assess the microstructure and functional changes caused by lung diseases, such as COPD, ILD and COVID-19. Additionally, it has received clinical approval in both China and the United States. is alveoli to the lung parenchyma and blood.<br>
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Upon inhalation, xenon atoms enter the alveolar airspaces and diffuse through the pulmonary membrane (e.g., alveolar epithelial cells, interstitial tissues, capillary endothelial cells) to tissue/plasma (TP), and finally reach red blood cells (RBC), exhibiting different resonance frequencies when residing in the airspace ( $\omega$ ) 0 ppm), TP ( $\omega$ ) 197 ppm), and RBC ( $\omega$  217 ppm) compartments.<sup>4</sup> These are referred to as gas-phase <sup>129</sup>Xe in the airspace and dissolved-phase  $^{129}Xe$  in TP and RBC (Figure 1A). Gas and dissolved-phase xenon atoms are in dynamic equilibrium and continually exchange before being carried away in the bloodstream. Of note, the quantitative characteristics of xenon gas exchange and uptake within the lung are influenced by parameters of physiological

relevance, such as the thickness of the blood–gas barrier. Therefore, measurements capable of quantifying these characteristics offer valuable insights into the understanding of the functional status of healthy and diseased lung.

Separate imaging of <sup>129</sup>Xe dissolved in RBC and TP is required for regional assessment of gas exchange in the lung. However, this is particularly challenging because of the low signal intensity (1-2% of that in the airspace) and short  $T_2^*$  (~1 ms at 3.0 T and ~ 0.5 ms at 7.0 T) of <sup>129</sup>Xe in dissolved versus gas phase, and the similar chemical shift of <sup>129</sup>Xe dissolved in RBC and TP. Several methods have been developed for spatial and spectral imaging of dissolved <sup>129</sup>Xe in the lung, but the majority required complicated calculations and corrections to remove the gas signal contamination<sup>6</sup> and correct the B<sub>0</sub> field. Of note, these methods required separate scans for gas and dissolved-phase  $^{129}$ Xe imaging, and resulted in an averaged  $\sim$ 10% gas-phase contamination of the dissolved-phase <sup>129</sup>Xe images,<sup>6</sup> hindering accurate quantification of <sup>129</sup>Xe gas exchange from alveoli to TP and RBC. Simultaneous acquisition of gas and dissolved-phase <sup>129</sup>Xe images as well as matched spatial resolution are required for precise evaluation of lung gas exchange function. This is because gas or dissolved-phase <sup>129</sup>Xe images alone do not allow differentiation between pathological changes in ventilation versus those in tissue microstructure or blood flow. Normalizing dissolved  $129Xe$  images to  $129Xe$  gas images acquired at the same time point provides unbiased evaluation of gas exchange that distinctly differs from commonly used measurements. Previous studies attempted to address this issue by using low acquisition bandwidth,<sup>7</sup> but distinguishing  $129$ Xe signals in TP and RBC has remained challenging. <sup>129</sup>Xe chemical shift imaging  $(CSI)^8$  appears to simultaneously capture gas and dissolvedphase  $129Xe$  signals in the lung. However, the inherent limitations of this technique, such as low spatial resolution (typical voxel size for spectroscopic imaging:  $6.5 \times 6.5 \times 20{\text -}25$  mm<sup>3</sup>), extremely long scan time, and the inability of regional evaluation of lung gas exchange function, have significantly restricted its widespread application. correct the B<sub>0</sub> field. Of note, these methods require<br>ing, and resulted in an averaged ~10% gas-phase contan<br>curate quantification of <sup>129</sup>Xe gas exchange from alveoli<br>lved-phase <sup>129</sup>Xe images as well as matched spatial

In this work, we present a new approach capable of simultaneously imaging  $129Xe$  in lung airspace and either TP or RBC for direct visualization and evaluation of gas exchange in the lung. The proposed approach differs from previous methods in that: 1) the spatial resolution of the generated <sup>129</sup>Xe MR images is 5 to 50 times greater than <sup>129</sup>Xe CSI; 2) dissolved-phase <sup>129</sup>Xe MR signals in TP and RBC are separately excited and collected; 3) <sup>129</sup>Xe atoms in gas-phase (airspace) and dissolved-phase (RBC or TP) are simultaneously imaged in the same scan without complicated correction or separation calculations, enabling the accurate assessment of regional gas-gas and gas-blood exchange.

We have successfully tackled some of the technical challenges of simultaneously imaging gas and dissolved phase  $129Xe$  in the lung. In particular, we designed highly selective radio frequency (RF) pulses using a Shinnar– Le Roux (SLR) algorithm<sup>9</sup> to separately excite  $^{129}Xe$  atoms dissolved in TP and RBC, and concomitantly acquire  $129$ Xe signals in lung airspace using a small flip angle by carefully adjusting the off-resonance effect of the pulse (Figure 1A). In addition, we developed a new data acquisition strategy enabling simultaneous spatial encoding of  $129$ Xe signals in RBC (or TP) and airspace in an interleaved manner (Figure 1A) based on 3D ultra-short echo time radial sampling. The acquired spokes are deployed in a temporally random order based on a Halton sequence, ensuring they are randomly and uniformly distributed throughout the sampling process. Furthermore, we proposed an image reconstruction strategy to separate the gas and dissolved-phase  $^{129}Xe$  signals by modulating the phase of k-space to remove the chemical shift artifacts produced by center-out radial sampling. This operation is equivalent to shifting the analog-to-digital converter (ADC) center frequency,  $f_{ADC}$ , to the resonance frequency of either gas or dissolved-phase <sup>129</sup>Xe in separate acquisitions, coined "Reconstruction with Virtual Rescan (RVR)". Finally, we successfully obtained isotropic resolution gas and dissolved-phase <sup>129</sup>Xe images in a single scan, as shown in the Figure 1A. P) and airspace in an interleaved manner (Figure 1A)<br>quired spokes are deployed in a temporally random ord<br>and uniformly distributed throughout the sampling proc<br>ategy to separate the gas and dissolved-phase <sup>129</sup>Xe sig<br>c

We first evaluated the feasibility of the proposed method for simultaneous gas and dissolved-phase  $^{129}Xe$ imaging in a rat model with pulmonary fibrosis under the approval of the Institutional Review Board (APM22036T). Compared with the control rats ( $N = 10$ ), we observed visibly heterogeneous signal intensities in both gas and dissolved-phase <sup>129</sup>Xe images (voxel size:  $0.5 \times 0.5 \times 0.5$  mm<sup>3</sup>) in the fibrosis rats (N = 10) created using the bleomycin treatment (Figure 1B). We calculated the RBC/Gas, TP/Gas, and RBC/TP ratios to quantify gas exchange efficiency between alveolar airspace, TP, and RBC. We observed lower RBC/TP ( $0.30 \pm 0.07$  vs  $0.53 \pm 0.06$ ,  $P < 0.001$ ) and RBC/Gas ([1.72  $\pm 0.46$ ]  $\times 10^{-3}$  vs [2.26  $\pm 0.29$ ] $\times 10^{-3}$ ,  $P = 0.008$ ) and higher TP/Gas  $([6.36 \pm 1.57] \times 10^{-3}$  vs  $[4.62 \pm 0.43] \times 10^{-3}$ ,  $P = 0.005$ ) in the fibrosis group compared to the control group (Figure 1C), which may be due to the reduced capillary density, blood volume, and/or increased septal thickness.

Journal Pre-proof<br>Moreover, we found that the RBC/Gas, TP/Gas, and RBC/TP ratios were strongly correlated with those provided by magnetic resonance spectroscopy (MRS) (Figures 1D-F). Meanwhile, pulmonary function tests (PFTs) and histological analysis were also performed on each rat for additional validation. We observed lower quasi-static lung compliance (C<sub>qs</sub>) in the fibrosis group (0.32  $\pm$  0.10 mL/cm H<sub>2</sub>O) compared with the control group (0.73  $\pm$ 0.15 mL/cm H2O) with a statistically significant difference (*P <* 0.001), as shown in the Figure 1G. Compared with the control rats, thickened alveolar wall and increased collagen deposition could be easily observed in Masson's trichrome stained lung sections from fibrosis rats (Figure 1H). Histological analysis showed that the septal thickness and the collagen fraction were  $6.44 \pm 0.38$  µm and  $16\% \pm 2\%$  for the control group, respectively, and these measurements were increased to  $14.01 \pm 2.55$  µm and  $32\% \pm 5\%$  for the fibrosis group (*P* < 0.001) (Figure 1I and 1J). Additionally, the RBC/TP ratios obtained with the proposed method were strongly correlated with histological measurements (Figure 1K) and PFTs (Figure 1L), suggesting that the proposed method is promising for assessing pulmonary injuries caused by lung disease. While both non-localized <sup>129</sup>Xe MRS and PFTs can non-invasively evaluate lung gas exchange function,<sup>10</sup> these approaches rely on global measurements and fail to capture the regional nature of structural and functional abnormalities in lung fibrosis. Such regional information is invaluable for optimizing treatment plans and developing novel therapies, highlighting a significant limitation in the sensitivity of these methods to detect changes in gas-exchange efficiency. Although <sup>129</sup>Xe MRSI or CSI could provide regional evaluation of lung gas exchange, their spatial resolution and long scan time restrict widespread application.<sup>8</sup> Histological analyses, although capable of directly visualizing and assessing local lung fibrotic changes are highly invasive and do not permit *in vivo* measurements of the entire lung. In contrast, the proposed approach allows for non-invasive visualization and quantification of local gas exchange function of the lung, suggesting its utility for widespread clinical application. agen fraction were 6.44 ± 0.38  $\mu$ m and 16 % ± 2 % for the irre increased to 14.01 ± 2.55  $\mu$ m and 32 % ± 5 % for the proposed ments (Figure 1K) and PFTs (Figure 1L), suggesting lmonary injuries caused by lung disease.

The clinical utility of our approach was demonstrated on 10 healthy volunteers (HV) and 17 discharged patients recovering from severe COVID-19, under the approval of the Institutional Review Board (APMH22005A). Figure 1M presents the original gas- and dissolved phase <sup>129</sup>Xe images of the lung (voxel size:  $5.5 \times 5.5 \times 5.5$  mm<sup>3</sup>), along with the ratio maps of RBC/Gas, TP/Gas, and RBC/TP for a HV and a patient with COVID-19. Compared

with the HVs, the patients with COVID-19 exhibited a significantly decreased RBC/TP ratio (0.25 ± 0.05 vs. 0.45 ± 0.12, *P <* 0.001). These changes may be attributed to interstitial thickening and perfusion deficits caused by inflammation and possible fibrosis due to COVID-19. Additionally, the RBC/TP ratio was strongly correlated with that obtained with MRS (Figure 1N). PFTs were also performed on each subject, revealing a significant difference ( $P < 0.001$ ) between the two groups (forced vital capacity [FVC]:  $4.68 \pm 0.86$  L for HV,  $3.25 \pm 0.66$  L for COVID-19; diffusing capacity of the lungs for carbon monoxide [DLco]:  $10.16 \pm 1.61$  mL/min/mm Hg for HV and  $6.74 \pm 1.30$  mL/min/mm Hg for COVID-19). Furthermore, RBC/TP ratio measurements correlated with FVC and DLCO provided by PFTs (Figure 1O and 1P), indicating the effectiveness of the proposed approach for quantifying lung gas exchange function.

In addition, the feasibility of the regional assessment of gas exchange function in the lung was demonstrated in a typical patient with COVID-19 (Figure 1Q). The images clearly show significant heterogeneity in the RBC/Gas, TP/Gas, and RBC/TP ratio maps, especially in the 40<sup>th</sup> and 50<sup>th</sup> slice. Furthermore, the averaged RBC/Gas, TP/Gas, and RBC/TP ratio changes along the anterior-posterior direction are also evident.

In conclusion, we proposed a way to directly and simultaneously image the 3D distribution of gas and dissolved-phase xenon in the lung for assessing regional gas exchange in the alveolar airspace, RBC, and TP. Our approach was capable of spatially and spectrally resolving signals of  $^{129}Xe$  in the three compartments, and provided gas exchange function measurements highly consistent with <sup>129</sup>Xe MRS in both animal and human studies. PF1s (Figure 1O and 1P), indicating the effectiveness<br>ge function.<br>y of the regional assessment of gas exchange function<br>COVID-19 (Figure 1Q). The images clearly show sig<br>CCTP ratio maps, especially in the 40<sup>th</sup> and 50<sup>t</sup>

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### **DECLARATION OF INTERESTS**

The authors declared no competing interest.



**Figure 1. Simultaneous imaging of gas and dissolved-phase <sup>129</sup>Xe in the animal and human lung** (A) Schematic of simultaneous gas and dissolved-phase <sup>129</sup>Xe lung imaging: *In vivo* measurements of <sup>129</sup>Xe MR signals in airspace and either RBC or TP using radio frequency (RF) pulses with high selectivity (SLRRBC and SLR<sub>TP</sub>); Diagram of the pulse sequences and data acquisition strategy for simultaneous gas and dissolved-phase

129Xe imaging; workflow for gas and dissolved-phase (using "Xe signal in TP as an example) "Xe image reconstruction using the Reconstruction with Virtual Rescan (RVR) method. (B) Representative images of <sup>129</sup>Xe MR signal in airspace, RBC, and TP, and ratio maps for a control and a fibrosis rat. (C) Comparison of group averaged RBC/Gas, TP/Gas, and RBC/TP ratios obtained from <sup>129</sup>Xe MRI between control and fibrosis rats. (D, E, and F) Correlations between averaged RBC/Gas, TP/Gas, and RBC/TP ratios obtained from <sup>129</sup>Xe MRI and magnetic resonance spectroscopy (MRS). (G) Comparison of group averaged quasi-static lung compliance  $(C_{qs})$ measured by PFTs between the groups. (H) Typical Masson's trichrome stained images obtained from a control and a fibrosis rat, scale bar: 100 μm. (I and J) Comparison of group averaged collagen fraction and septal thickness between the groups. (K and L) Correlations between the averaged RBC/TP ratio provided by <sup>129</sup>Xe MRI with collagen fraction and  $C_{qs.}$  (M) Representative images of  $^{129}Xe$  MR signal in airspace, RBC, and TP, and ratio maps for a healthy volunteer (HV) and a discharged patient with COVID-19 (COVID-19). (N) Comparison of group averaged RBC/TP ratios obtained from <sup>129</sup>Xe MRI between the groups, and the correlation between the RBC/TP ratio obtained from <sup>129</sup>Xe MRI and whole lung MRS. (O) Comparison of group averaged forced vital capacity (FVC) between the groups and the correlation between the RBC/TP ratio obtained from <sup>129</sup>Xe MRI and FVC. (P) Comparison of group averaged  $D<sub>CO</sub>$  between the groups, and the correlation between the RBC/TP ratio obtained from <sup>129</sup>Xe MRI and DL $_{\rm CO}$ . (Q) The typical slices of RBC/Gas, TP/Gas, and RBC/TP maps, as well as the averaged RBC/Gas, TP/Gas, and RBC/TP ratio changes along the anterior-posterior direction from a patient with COVID-19. All the data are presented as mean  $\pm$  SD, and unpaired two-tailed t-tests and two-tailed Pearson correlation analyses were used. \*\*  $P < 0.01$ , \*\*\*  $P < 0.001$ . 100  $\mu$ m. (1 and J) Comparison of group averaged collage<br>1 L) Correlations between the averaged RBC/TP ratio<br>M) Representative images of <sup>129</sup>Xe MR signal in airs<br>r (HV) and a discharged patient with COVID-19 (CC<br>tios ob

